



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of: John R. Liddicoat et al.
Serial No.: 10/004,474
Filing Date: 10/23/2001
Title: AUTOMATED ANNULAR PPLICATION FOR
MITRAL VALVE REPAIR
Group Art Unit: 3731
Examiner: Unknown
Attorney's Docket No.: VIA-12

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

REQUEST FOR CORRECTION OF FILING RECEIPT

Applicants hereby request that the following correction be made to the Filing Receipt which was issued for the above-identified patent application:

Under the section entitled "Domestic Priority data as claimed by applicant", after "THIS APPLICATION IS A CIP OF 09/888,282 06/22/2001" please delete "WHICH" and please insert -- AND THIS APPLICATION" -- before "CLAIMS BENEFIT OF 60/242,466 10/23/2000".

The foregoing changes are believed necessary to make the Filing Receipt consistent with the application as filed.

A copy of the Filing Receipt as originally issued is enclosed for the convenience of the Patent and Trademark Office.

Thank you.

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON:

March 13, 2002

(DATE OF DEPOSIT)

Mark J. Pandiscio

(NAME OF ATTORNEY)

Mark J. Pandiscio 3/13/02

(SIGNATURE)

March 13, 2002

(DATE OF SIGNATURE)

Respectfully submitted,

Mark J. Pandiscio 3/13/02

Mark J. Pandiscio
Registration No. 30,883
Pandiscio & Pandiscio
470 Totten Pond Road
Waltham, MA 02451-1914
Tel. (781) 290-0060

RECEIVED
#6
MAY - 1 2002
MAIL ROOM



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/004,474	10/23/2001	3731	370	VIA-12	12	2	2

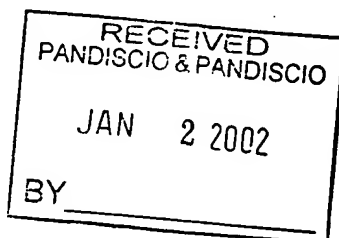
CONFIRMATION NO. 9741

FILING RECEIPT



OC00000007227822

Pandiscio & Pandiscio
470 Totten Pond Road
Waltham, MA 02451-1914



Date Mailed: 12/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

John R. Liddicoat, Sewickley, PA;
Gregory H. Lambrecht, Natick, MA;
Richard B. Streeter, Winchester, MA;
Steven B. Woolfson, Boston, MA;

RECEIVED
APR - 5 2002
ITC 3700 MAIL ROOM

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/888,282 06/22/2001
~~WHICH~~ CLAIMS BENEFIT OF 60/242,466 10/23/2000

Foreign Applications

AND THIS APPLICATION

If Required, Foreign Filing License Granted 12/21/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

DOCKETED BY

ACTION

DUE DATE

bu d j f
corrected filing receipt
1/12/02

** SMALL ENTITY **

Title

Automated annular plication for mitral valve repair

Preliminary Class

DOCKETED BY

ACTION

DUE DATE

bu d j f
foreign filing fee
4/23/02
10/23/02

Preliminary Class

606

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

RECEIVED
MAY - 1 2002
TC 3100 MAIL ROOM

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).